

FINANCIAL SERVICES

Bartko Pavia is a trusted partner for financial institutions seeking agile and business-focused representation. We deliver sophisticated, results-driven legal counsel to financial services clients navigating today's fast-evolving economic and regulatory landscape. With decades of collective experience and a coast-to-coast presence, our firm understands the unique pressures and complexities facing banks, investment firms, lenders, and other financial services companies. Our team excels in crafting tailored solutions across the spectrum of financial sector needs. With Bartko Pavia's foundation in California's technology and finance hubs and deep roots in New York's financial center, we combine local expertise with global perspectives.

INSIGHTS

PRESS RELEASE | 29, SEPTEMBER 2025

ABA ANTITRUST PLAINTIFFS' ROUNDTABLE WILL FEATURE INSIGHTS FROM IAN PAPENDICK

PUBLICATIONS | 10, FEBRUARY 2025

NEW YORK AND DOJ INTRODUCE STRICTER DATA PRIVACY REQUIREMENTS New York Updates Data Breach Notification Law

PUBLICATIONS | 12, OCTOBER 2023

UPCOMING COMPLIANCE DATE UNDER THE CORPORATE TRANSPARENCY ACT

The Corporate Transparency Act (CTA) requires certain U.S. and foreign corporations, LLCs and other entities created by filing a document with a secretary of state (a Reporting Company) to file a report with the Financial Crimes Enforcement Network (FinCEN) about its beneficial owners and...

PUBLICATIONS | 29, SEPTEMBER 2023

USE OF AI IN EMPLOYMENT

If your Company uses any automated tools such as Upwork or TurboHire in its hiring process, you should be aware that New York City has established new requirements governing such practices, which are set forth in the Automated Employment Decision Tool Law (AEDTL).

PUBLICATIONS | 03, JULY 2023

ENFORCEMENT OF NEW CALIFORNIA'S PRIVACY REGULATIONS DELAYED

A California judge has made a last-minute decision to delay the enforcement of the California Privacy Rights Act (CPRA) regulations until March 29, 2024. The original plan was for the regulations to be enforced starting July 1.

PUBLICATIONS | 09, MARCH 2023

UPCOMING COMPLIANCE DATE UNDER THE CALIFORNIA PRIVACY RIGHTS ACT OF 2020

The California Privacy Rights Act of 2020 (CPRA) has introduced a new body of rules to ensure a higher level of protection of consumers' personal information. In a notable change, the CPRA also introduces new privacy rights for employees and extends data privacy protections to consumer...

PRESS RELEASE | 28, MAY 2015

BARTKOZANKEL OBTAINS FINAL APPROVAL IN FINANCIAL ADVISOR CLASS SETTLEMENT

The firm, representing a defined class of 135 former Wells Fargo financial adviser executives, obtained court approval of a settlement against the bank for a total of \$7.42 million. Wakefield, et al. v. Wells Fargo Company, et al., 3:13-05053 LB (ND Cal.). The settlement also requires Wells to...

PRESS RELEASE | 02, SEPTEMBER 2014

MOTION FOR PRELIMINARY INJUNCTION AGAINST ABD INSURANCE AND FINANCIAL SERVICES DENIED AGAIN

On August 28, 2014, United States District Court Judge Phyllis Hamilton again denied the motion for preliminary injunction filed by Wells Fargo against BartkoZankel client ABD Insurance and Financial Services (the "ABD Team"). Wells Fargo alleges that the ABD Team is infringing the AB...

PRESS RELEASE | 12, MARCH 2013

COURT DENIES WELLS FARGO'S MOTION FOR PRELIMINARY INJUNCTION IN TRADEMARK CASE

On March 8, 2013, United States District Court Judge Phyllis Hamilton entered an Order Denying the Motion for Preliminary Injunction filed by Wells Fargo Bank against BartkoZankel client, The ABD Team. The case concerns Wells Fargo's claim that the ABD Team is infringing the ABD...

PRESS RELEASE | 07, JULY 2012

BARTKO ATTORNEYS WIN APPROVAL OF \$17.2M CLASS ACTION SETTLEMENT WITH MERRILL LYNCH

February 2012: BartkoZankel attorneys William I. Edlund, Robert H. Bunzel and Simon Goodfellow secured final approval for a plaintiff class of 83 former Merrill Lynch California financial advisors who claimed Merrill Lynch improperly forfeited their deferred compensation accounts solely...